

# The phonological grammar is probabilistic: New evidence pitting abstract representation against analogy

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# Introduction

Speakers extend probabilistic trends in their lexicons to new words

- **Example:** Initial stress in English

- a majority of 2-syllable words have initial stress (about 75%)
- but stable exceptions are plentiful: *guitár, garáge, devíce*
- English speakers prefer initial stress in novel words (Guion et al., 2003)
- Probabilistically:
  - They sometimes produce finally-stressed nonwords as well
  - The rate of initial stress can be influenced by other factors
    - Part of speech
    - Syllable weight

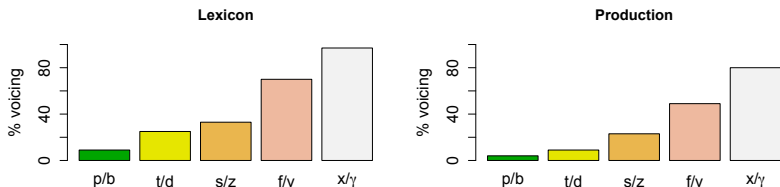
- **What is the cognitive mechanism that underlies this ability?**

# Introduction

Speakers extend probabilistic trends in their lexicons to new words

- **Example:** Dutch voicing alternations (Ernestus and Baayen, 2003)

[verʊeɪdən], [verʊeɪtən] → [verʊeɪt]



- Similar results: Hayes et al. (2009); Becker et al. (2011); Zuraw (2000, 2010) and many others

# Introduction

Speakers extend probabilistic trends in their lexicons to new words

- They 'probability match'
- Rather than categorically choosing the most common pattern
- ? **Grammar contains probabilistic generalizations?**
  - Represents not just what to do, but also how often to do it
- Or are these trends represented some other way?
  - Analogy to existing items
  - Statistical learning: Cognitively general mechanism

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# Introduction

- 1 Case of probability matching in the English stress system
- 2 **Is analogy happening?**
  - Use nonwords with no near lexical neighbors
  - Ask participants to provide potential analogical bases
  - Compare: Stress of analogical base to produced stress
  - Guion et al. (2003): Effects of analogical base AND phonological generalizations

# Analogy

How do you choose what to analogize to?

- Randomly choose a word
  - No guarantee that your word will have the necessary properties
- Use the entire lexicon
  - Divide the lexicon up into categories; choose the one where all the words match your nonword in some relevant way (Skousen, 1989)
  - Calculate the phonetic similarity between your nonword and each actual word (Nakisa et al., 2001)
- Choose a word based on similarity
  - Lookup words using feature(s) of the nonword
  - Use Lexical access mechanism?  
e.g. TRACE (McClelland and Elman, 1986)

Chomsky and Halle (1968); Halle and Vergnaud (1987): 'Latin Stress Rule'

(A) Stress a heavy penultimate syllable (*amálgam*)

- Very few exceptions in the lexicon (*galaxy, character*)
- Obeyed in speakers' productions (Domahs et al., 2014; Olejarczuk, 2014)

(B) else stress antepenult (*cánopy*)

- Exceptions abound (*vanílla, banána, spaghétti, canáry ...*) (Pater, 1994)
- Not obeyed in speakers' productions (Domahs et al., 2014)



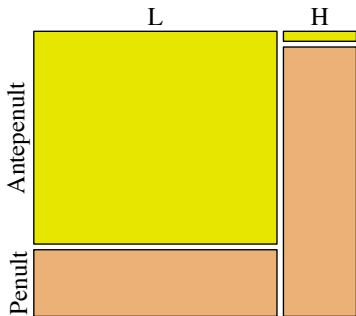
# English Stress

Corpus search:

- **Corpus:** CMU pronouncing dictionary (Weide, 1994)
- Frequency threshold: SubtLex (Brysbaert and New, 2009)
- All words 3+ syllables
- Automatic annotation: syllable structure, vowel qualities, stress pattern

# English Stress

Chomsky and Halle (1968); Halle and Vergnaud (1987): 'Latin Stress Rule'



**H:** CVV,CVC\*

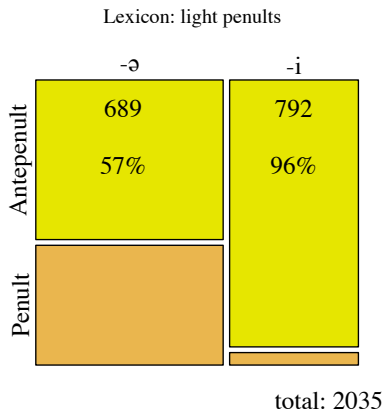
**L:** CV

Heavy penult: *aróma, bonánza*

Light penult: *tobóggan, elícit*

# English Stress

Stress is partially conditioned by the final vowel



If [ə]-final, no preference

If [i]-final, then Antepenultimate

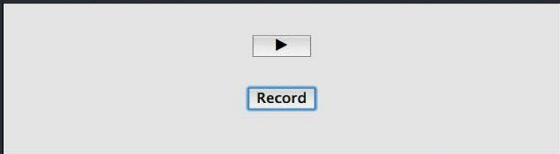
**Final [i] drives stress leftward**  
(Hayes, 1982; Liberman and Prince, 1977)

- (1) Does the i-final trend generalize to nonwords?
  - (2) Do speakers use analogy to do so?
- Methods very similar to Guion et al. (2003)
  - Part 1: wug test
  - Part 2: same nonce words again, this time fill-in-the-blank  
What real word does it remind you of?
  - Web-based experiment using Amazon Mechanical Turk

## Wug test


- Isolated syllables presented auditorily: [bǎé] [mǎé] [kí]
- Participants speak the word 'fluently'
- Both stress options presented: [bǎmǎéki], [bǎémǎki]
- Participants choose one
  - Forced choice as proxy for production

# Methods



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Please send any questions to [cmooreca@linguist.umass.edu](mailto:cmooreca@linguist.umass.edu)

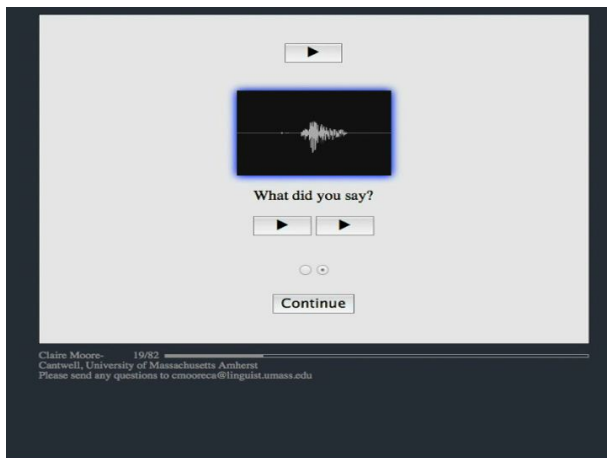
# Methods



A video player interface with a light gray background. At the top center is a play button. Below it is a black rectangular area containing a white audio waveform. Underneath the waveform is the text "What did you say?". At the bottom of this area are two play buttons, the left one of which is highlighted with a blue border. Below the video area is a progress bar and a text block: "Claire Moore- 19/82 Cantwell, University of Massachusetts Amherst Please send any questions to cmooreca@linguist.umass.edu".

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# Methods



The screenshot shows a software interface for an audio experiment. At the top center is a play button. Below it is a black rectangular area containing a white audio waveform. Underneath the waveform, the text "What did you say?" is displayed. Below the text are two play buttons. Further down are two small circles, one of which is filled, indicating the current playback position. At the bottom center is a "Continue" button. At the bottom left of the interface, there is a progress bar and the following text: "Claire Moore- 19/82 Cantwell, University of Massachusetts Amherst Please send any questions to cmooreca@linguist.umass.edu".



## Getting potential analogical bases

- Isolated syllables presented again: [bæ] [mæ] [kí]
- 'What English word does the sequence of syllables remind you of?'
- Participants filled in a blank  
→ Word most likely to serve as analogical base

## Details:

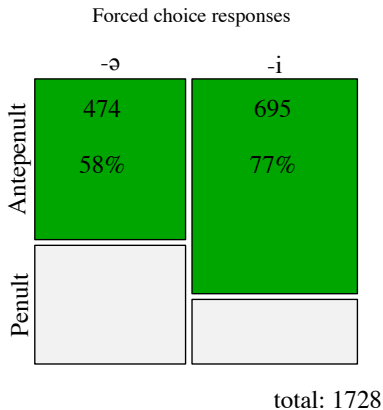
- 48 Participants recruited through Amazon Mechanical Turk
- Presented using Experigen (Becker and Levine) plus a plugin for recording over the web
- 32 nonword items, 8 real word fillers
  - Nonwords selected to have very low neighborhood density under (Bailey and Hahn, 2001), GNM value < 0.01*
- 20 minutes total

## General:

- Most participants succeeded at the production task
  - Produced e.g. [bæmæki] not [bæmæki]
  - Chose the sound file that corresponded to their production  
→ Can trust forced choice data
- Analogical base task was harder
  - Provided an actual word about 58% of the time
  - Rest of the time: transcribed the nonword
  - or gave no answer

# Results

## Results of production task

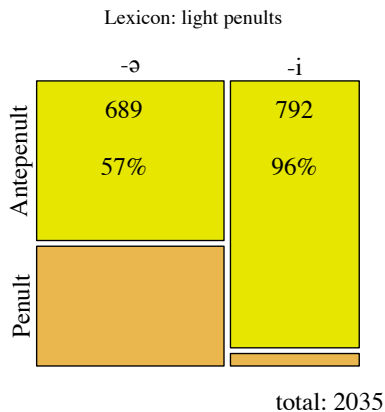
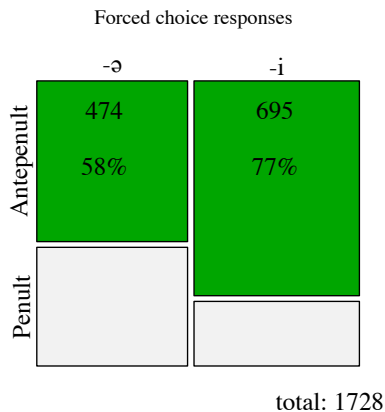


**i-final** More Antepenult

**ə-final** Equal

# Results

## Compare



## Properties of analogical bases:

- Favored 3-syllable words

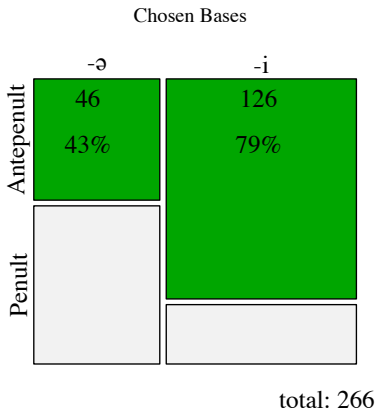
Number of Syllables

1	2	3	4	5
194	221	411	58	3
22%	25%	53%		

- Matched final vowel 91% of the time

# Results

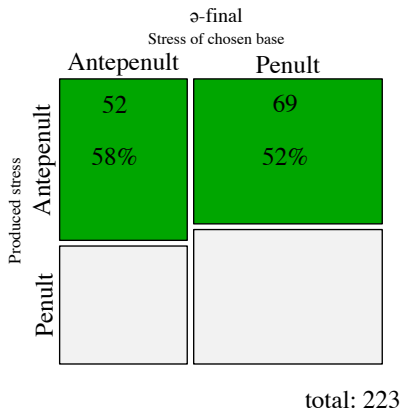
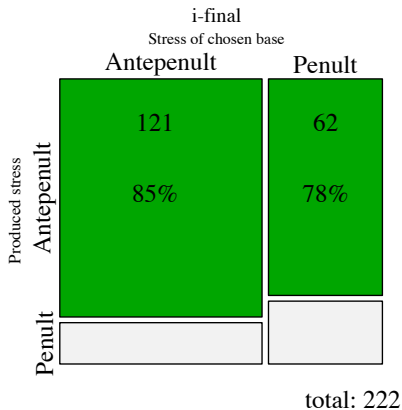
## Properties of analogical bases:



More Antepenult in i-final bases

# Results

## Does base stress predict produced stress?





# Results

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Logistic regression with two factors:

Model: *Produced Stress* ~ *Final Vowel* + *Analogical Base Stress*

	Estimate	p
Intercept	-0.54	0.02
Final Vowel = i	-1.22	0.0001
Analogical Base Stress = Penult.	0.42	0.20

AIC: 290

remove:	change in AIC	Likelihood ratio	p
Final Vowel	+13	15.66	0.0001
Analogical Base Stress	0	1.7	0.20

# Results

- What if participants access a different real word each time they hear the nonword stimulus?
- But they're still using analogy
- ? **What behavior is predicted for each nonword based on the set of nearby real words?**

Stimulus	[rɛ vɛ si]						
Analogical Base	légacy	lívory	prívacy	régistry	rémedy	revéal	receive
no. Responses	1	1	1	1	1	1	1

83% Antepenult, 17% Penult

Stimulus	[sɛ fɛ ni]						
Analogical Base	sýmphony	fámily	sésame	safári	sapphire	say	save
no. Responses	8	1	1	1	1	1	1

91% Antepenult, 9% Penult

# Results

- What if participants access a different real word each time they hear the nonword stimulus?
- But they're still using analogy
- ? **What behavior is predicted for each nonword based on the set of nearby real words?**

Stimulus	[rɛ vɛ sə]					
Analogical Base	revisión	reversión	revise	rabbit	vista	vivid
no. Responses	5	1	2	1	1	1

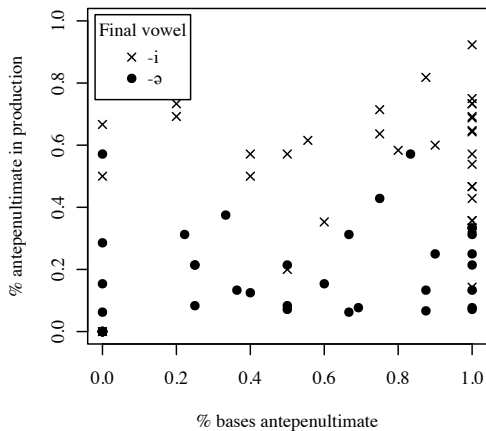
0% Antepenult, 100% Penult

Stimulus	[sɛ fɛ nə]					
Analogical Base	sýmphony	savánna	secondary	seven	saffron	safe
no. Responses	2	2	1	1	1	1

50% Antepenult, 50% Penult

# Results

% Antepenultimate stress by item



# Results

- Participants 'probability matched' antepenultimate stress on i-final words
  - They also observe this trend in their choice of analogical bases
- But the stress of the base does not predict stress in production

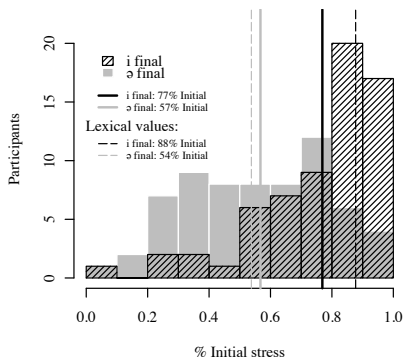
Participants' probability matching seems not to be the result of analogy to existing items

# Conclusions

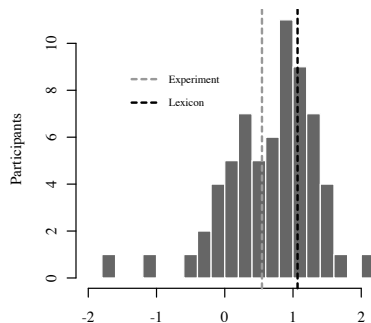
- Analogy is not responsible for the productivity of the i-final trend
- Previous studies (Guion et al., 2003; Baker and Smith, 1976) showed effects of BOTH analogy and abstract generalization
  - Used words with richer neighborhoods, in some cases near neighbors (cinempa)
- Here: no effect of analogy at all
  - Nonwords were very far from any actual word
  - Speakers can extend the i-final trend to nonwords even when analogy is difficult
  - **Abstract representation of the i-final trend**

# Thank You

# Individual Subjects

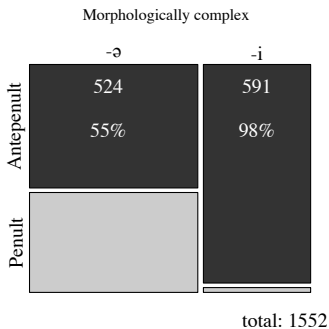
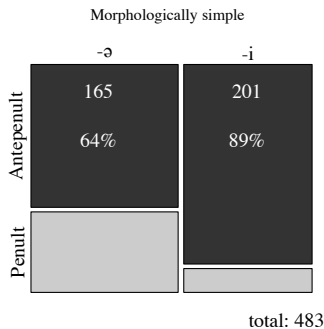


$$d' = Z(\% \text{ Initial}, i\text{-final}) - Z(\% \text{ Initial}, ə\text{-final})$$





# Morphology?



# Introduction

## Categorical phonology: Grammar

- Inexorably applies to new words
  - Regardless of similarity to actual words (Prasada and Pinker, 1993)
  - Speakers cannot viridically perceive violations: [dla] → 'gla' (Moreton, 2002; Breen et al., 2013)
- Hard to un-learn
  - Learning the sound pattern of a second language is not simply a matter of learning the words
  - Experimental cases: (Finn and Kam, 2008; Whalen and Dell, 2006)
- Limited range of possible patterns
  - Some categorical patterns are common: Antepenultimate stress
  - Others surprisingly rare: Post-peninitial stress